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| **CLASS ACTION:** *Hart el al. v. Colvin* | |
| **1. CLAIMANT'S NAME** (Last, First):  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |  |
| **2. CLAIMANT'S SSN:**  **\_\_\_ \_\_\_ \_\_\_ - \_\_\_ \_\_\_ - \_\_\_ \_\_\_ \_\_\_ \_\_\_** |
| **3. CLAIM NUMBER** (if different from 1):  \_\_\_ \_\_\_ \_\_\_ - \_\_\_ \_\_\_ - \_\_\_ \_\_\_ \_\_\_ \_\_\_ |
| **4. SCREENING DATE** (Month, Day, Year):  \_\_\_ \_\_\_ - \_\_\_ \_\_\_ - \_\_\_ \_\_\_ \_\_\_ \_\_\_ |
| **5. CLASS TYPE** (see items 7 and 8)**:**  ­­­­­\_\_\_ GROUP 1 CLAIMANT  \_\_\_ GROUP 3 CLAIMANT |
| **6A. SCREENING RESULT** (see items 9-12)**:**  ­­­\_\_\_ NOT PROCEEDING FURTHER IN READJUDICATION PROCESS  \_\_\_ PROCEEDING THROUGH READJUDICATION PROCESS | **6B. SCREENOUT CODE:**  **\_\_\_ \_\_\_ \_\_\_**(See item 12) |
| **7.** Did the claimant receive an unfavorable or partially favorable final decision on a claim(s) that, as of April 25, 2017, is a final decision, and, as part of that claim, did the claimant undergo a consultative examination performed by Frank Chen, M.D. on or after January 1, 2011? | Yes \_\_\_ No\_\_\_  If Yes, this is a Group 1 claim. Mark “GROUP 1 CLAIMANT” in item 5 and continue at 9a.  If No and Appeals Council claim, continue to 8. If no and hearing level claim, contact the appropriate management official for further guidance. |
| **8.** Did the claimant receive an unfavorable or partially favorable decision on a claim(s) that, as of April 25, 2017, is not a final decision and, as part of that claim, did the claimant undergo a consultative examination performed by Dr. Chen? | Yes \_\_\_ No\_\_\_  If yes, this is a Group 3 claim. Mark “GROUP 3 CLAIMANT” in item 5 and continue at 10a.  If no for 7 and 8, contact the appropriate management official for further guidance. |
| **9a.** Did the claimant receive a decision on the basis of failure to meet non-medical criteria? | Yes \_\_\_ No\_\_\_  If Yes, go to 12.  If no, continue to 9b. |
| **9b.** Did the adjudicator explicitly afford no weight to the CE report by Dr. Chen in the decisional analysis? | Yes \_\_\_ No\_\_\_  If Yes, go to 12.  If No, go to 11. |
| **10a.** Did the adjudicator explicitly afford no weight to the CE report by Dr. Chen in the decisional analysis? | Yes \_\_\_ No\_\_\_  If yes, go to 12.  If no, go to 10b. |
| **10b.** Did the adjudicator exclude Dr. Chen’s report form consideration in making the decision? | Yes \_\_\_ No\_\_\_  If yes, go to 12.  If no, go to 11. |
| **11.** The claimant will continue through the readjudication process. Check “PROCEEDING THROUGH READJUDICATION PROCESS” in item 6A and continue following applicable HALLEX processing instructions. |  |
| **12.** The claimant will not continue through the readjudication process. Check “NOT PROCEEDING FURTHER IN READJUDICATION PROCESS” in item 6A and enter the screen-out code in item 6B as follows:  *Enter 09A if question 9a was answered “YES;”*  *Enter 09B if question 9b was answered “YES;”*  *Enter 10A if question 10a was answered “YES;”*  *Enter 10B if question 10b was answered “YES.”* | **No other screen-out code entry is appropriate.** |
| **NAME OF SCREENER:** | **COMPONENT:** |
| **SCREENER’S SIGNATURE:** | **DATE:** |